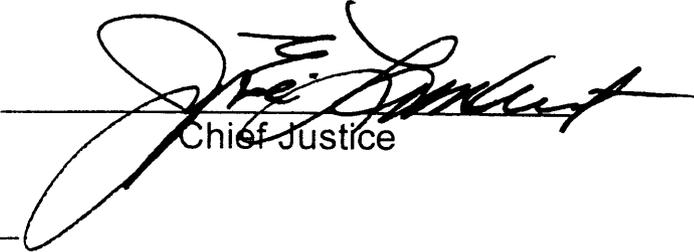


Supreme Court of Kentucky

ORDER

Pursuant to KRS 533.250, signed into law in May, 1998, the Pretrial Diversion Program for Class D Felons submitted by the 20th Judicial Circuit (Greenup County) is hereby approved by the Kentucky Supreme Court and added to the Circuit's local rules.



Chief Justice

Entered:

May 26, 1999

**CLASS D FELONY PRETRIAL DIVERSION PROTOCOL
FOR THE 20TH JUDICIAL CIRCUIT**

I. Definition

Pretrial diversion is the postponement of imposition of sentence upon any person qualified as being eligible for this program, subject to certain conditions, for a time period not to exceed five (5) years subject to those conditions as established by the Court.

II. Persons Eligible

- A. Any person charged with a Class D felony, who has not had a felony conviction in the ten (10) years prior to commission of the current offense, or who has not been on felony probation or parole or released from felony incarceration within the ten (10) years prior to commission of the current offense, shall be eligible for pretrial diversion.
- B. The person charged must enter a plea of guilty, or a plea pursuant to North Carolina v. Alford, before becoming eligible for pretrial diversion.
- C. Persons ineligible for probation, parole or conditional discharge under KRS 532.045 shall be ineligible for this program.
- D. A person convicted of a Class D felony for which early release is disallowed by statute, including KRS 189A.010(8) and KRS 189A.120(2), shall be ineligible for this program.
- E. No person shall be eligible for this program more than once in any five (5) year period.

III. Procedure

- A. After indictment in circuit court, and no later than 14 days before trial any eligible person for the program may apply to the Circuit court and the Commonwealth's Attorney for entry of a pretrial diversion order.
- B. In applying for pretrial diversion, counsel for the defendant must state, and the defendant must agree, in writing, in the event diversion is granted, to waive any right to a speedy trial or disposition of the charge against him/her.
- C. The Commonwealth's Attorney shall make a written recommendation to the Court in response to each application.

- D. Before making a recommendation to the Court, the Commonwealth's Attorney shall:
1. Have a criminal record check made by telephoning Pretrial Services at AOC at 1-800-928-2350, or faxing the request to (502) 573-1669. **DO NOT** send requests to the local Pretrial Services Officer.
 2. Interview and seek input from the victim and/or victim's family and advise them of the time, date and place the application will be heard by the Court; and
 3. When diversion is recommended, the prosecutor must make written recommendations to the Court of conditions for the pretrial diversion as well as the appropriate sentence to be imposed if the diversion agreement is unsuccessful.

IV. Order of Pretrial Diversion

- A. The Court may, in its discretion, order pretrial diversion for eligible petitioners upon terms and conditions it deems appropriate. AOC Form 345, styled Order Granting Pretrial Diversion of a Class D Felony, is available for the Court's use.

The Court may use the following colloquy in accepting a guilty plea/ plea pursuant to Alford:

1. Is your guilty plea/plea pursuant to Alford in this case part of an agreement you and your attorney have made with the Commonwealth?
2. In return for your guilty plea/plea pursuant to Alford, the Commonwealth has agreed to recommend a sentence of _____
_____. Is this correct?
3. The Commonwealth has also recommended your case be diverted on the following conditions: _____
_____. Is this correct?
4. The Commonwealth has tendered to the Court a diversion agreement. Have you read the diversion agreement? Have you discussed it with counsel? Has your attorney answered any questions you have about the diversion agreement?
5. Have you signed the diversion agreement?
6. Did you voluntarily sign the agreement?

7. Do you understand that if you successfully complete the diversion agreement the charge(s) against you will be dismissed?
8. Do you understand that if the Commonwealth alleges you have failed to comply with the terms of the diversion agreement, the Court will schedule a hearing and, upon completion of the hearing, make a finding as to whether you failed to comply with the diversion agreement?
9. Do you understand that if the Court finds you have not complied with the diversion agreement, the Court will schedule a sentencing hearing and at that hearing, the Court may sentence you to the penalty recommended by the Commonwealth?

E The Order of Diversion **shall** include:

1. Restitution, if applicable.
2. Whether the diversion shall be supervised or unsupervised (and include supervision fees, if applicable.)
3. Duration of the diversion.
4. Require defendant to obey all rules and regulations imposed by Probation & Parole.
5. As required by KRS 533.030(1), direct defendant not to commit any offense during the period of the pretrial diversion. Specifically, direct the defendant to comply with any other provision of KRS 533.030 or any other condition the Court deems appropriate.

C The Order of Diversion **may** include:

1. That the petitioner remain drug and alcohol free and be subject to random testing.
2. That the petitioner have no violation of the Penal Code or the Controlled Substances Act.
3. That the petitioner possess no firearm or any other deadly weapon.

D. Duration of the pretrial diversion shall not exceed five (5) years without agreement of the petitioner. Duration of the diversion agreement shall not be less than the time required to make restitution in full.

V. **Voiding a Diversion Order**

A. After a hearing, with notice to the Commonwealth's Attorney, and to the defendant, the Court may void a person's participation in pretrial diversion upon a

showing of failure to comply with the conditions of diversion or failure to make satisfactory progress. AOC Form 346, styled Order Voiding Pretrial Diversion of a Class D Felony, is available for this purpose.

- B. If an order of pretrial diversion is voided, the defendant shall be sentenced according to law, based on his or her prior plea of guilty/plea pursuant to North Carolina v. Alford.
- C. Under 533.256 (2), the same criteria applicable to a probation revocation hearing applies to a proceeding to void an order granting diversion. Pursuant to KRE 1101(d)(5), the Rules of Evidence are inapplicable in miscellaneous proceedings such as those revoking probation. A proceeding to determine whether an order granting diversion should be voided also constitutes a miscellaneous proceeding and therefore the Rules of Evidence are inapplicable to such hearings.

VI. Completion of Diversion Program

If the defendant successfully completes the provisions of the pretrial diversion agreement, the charges against the defendant shall be dismissed.

Approved, this the 21st day of April, 1999, by Lewis D. Nickolls,
Nickolls, Circuit Judge, and Lloyd E. Spear,
Commonwealth's Attorney, to be effective immediately.

Lewis D. Nickolls
CIRCUIT JUDGE
GREENUP CIRCUIT COURT

[Signature]
Commonwealth's Attorney